# **Public Service Grievance Board**

**ANNUAL REPORT** 

2016-2017

### MESSAGE FROM THE CHAIR

I am pleased to report that the Public Service Grievance Board has continued to carry out its mandate with skill, integrity and impartiality and in a cost-effective manner during the fiscal year 2016-2017. This mandate is to provide independent dispute resolution services (mediation, mediation/arbitration, and arbitration) for employment disputes involving certain members of the Ontario Public Service who are not covered by a collective agreement and their government employers.

The Public Service Grievance Board is an independent employment tribunal that exercises the powers and duties conferred upon it by the Public Service of Ontario Act, 2006, and O. Reg. 378/07. The Board's goal is to encourage harmonious workplace relations within the Ontario Public Service by dealing with the disputes brought before it in a fair, impartial and expeditious manner. I can report that the Board achieved this goal through the combined efforts of the Board's very capable members and staff.

The Board is currently composed of its Chair, Kathleen O'Neil, and two members, Reva Devins, and Marilyn Nairn, all part-time. All three are professional labour relations adjudicators who bring to the Board extensive experience in the broader labour relations community as well as a particular familiarity with the Ontario public service. Their skill, experience, and professionalism are at the core of the effectiveness of the Board as an independent dispute resolution agency. In the summer of 2016, we bade farewell to Deborah Leighton, who served the Board very capably for 24 years, leaving a member vacancy to fill. The process of recruitment for that vacancy and one other member to increase the Board's scheduling capacity will hopefully be completed in the near future.

The Board's administrative staff continues to be led by Caroline Goodwin, the Board's Secretary. As a part-time Chair, I remain very much in her debt, and in the debt of her capable staff, for the impressive efficiency with which the Board is run. Their work - scheduling, tracking, communicating with and advising our clientele, internal and external, is essential to fulfilling the Board's responsibility to provide the highest quality dispute resolution services, and to be administratively accountable within the larger structure of government. Through their efforts, the Board has been able to meet its administrative responsibilities in a timely, responsible and cost effective manner.

Kathleen G. O'Neil

Chair, Public Service Grievance Board

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### 1. PSGB Mandate

The Public Service Grievance Board (PSGB or the Board) is an independent adjudicative tribunal that exercises the powers and duties conferred upon it by the Public Service of Ontario Act, 2006, and O. Reg. 378/07. The PSGB is classed as an adjudicative agency accountable to the legislature through the Minister of Labour. The overall objective of the Board is to provide dispute resolution services between certain management/excluded crown employees and the government as their employer.

The adjudicative independence and neutrality of the PSGB is preserved by its physical location - it is separated from government agencies other than its co-tribunal the Grievance Settlement Board (GSB), and by the fact that the members of the PSGB are labour relations mediators/adjudicators who are prominent and well respected in the broader labour relations community. The Board's Chair and its Members bring to it extensive experience in both mediation and adjudication. When third party intervention is needed, the Board provides mediation or, in the alternative, an expeditious process of adjudication.

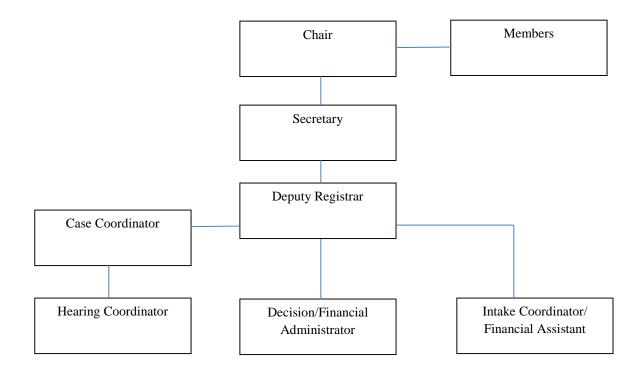
### 2. Human Resources

#### The Secretary

#### Caroline Goodwin

Caroline Goodwin has over 30 years of experience as an administrator in the labour relations field, commencing her OPS career with the Grievance Settlement Board. During her career, she gained broad experience through secondments with the Ministry of Labour, Finance and Administration Branch, the Human Rights Tribunal of Ontario, and the Pay Equity Hearings Tribunal. She has served as the Board's secretary since 2003.

#### **PSGB Organization Chart**



## 3. Order In Council Appointments

#### List of Appointees – Public Service Grievance Board

| Name of Appointee | OIC Appointment | Initial Appointment | Expiry Date of<br>Current OIC |
|-------------------|-----------------|---------------------|-------------------------------|
| Kathleen O'Neil   | Chair           | January 5, 2015     | January 4, 2020               |
| Reva Devins       | Member          | January 5, 2015     | January 4, 2020               |
| Marilyn Nairn     | Member          | January 5, 2015     | January 4, 2020               |

#### <u>Chair</u>

#### Kathleen O'Neil

Kathleen G. O'Neil brings over twenty-five years of experience as an adjudicator to her position as Chair, Ontario Public Service Grievance Board. She previously served as Vice Chair of the Ontario Labour Relations Board (1988 to 1997), and of the Workers' Compensation Appeals Tribunal (1986 to 1988). Since 1997, she has been active in her private practice as a mediator and arbitrator dealing with grievances under Ontario and federal labour statutes, as well as a mediator in a wide variety of matters including human rights, employment related disputes, and general civil litigation. Admitted to the Bar of Ontario in 1979, she holds a B.A. from the University of Toronto and an LL.B. (now J.D.) from Osgoode Hall Law School.

#### <u>Members</u>

#### Reva Devins

Reva Devins is a full time arbitrator and mediator. She is a Vice Chair of the Grievance Settlement Board and is the Associate Chair under the 1986-90 Hepatitis C Class Action

Settlement. She has also been appointed as a mediator/arbitrator under the Walkerton Compensation Plan, the UCC Claims Resolution Plan and was a member of the Canadian Human Rights Tribunal (1995-2005). Ms. Devins was called to the Bar of Ontario in 1985 and holds degrees from York University (B.A), Osgoode Hall Law School (LL.B.) and Harvard Law School (LL.M.).

#### **Marilyn Nairn**

Originally from Winnipeg, Marilyn Nairn is a graduate of the University of Ottawa Law School and was called to the Ontario bar in 1982. She practiced labour law in Toronto until 1987 when she accepted the position of counsel to the Ontario Labour Relations Board. In 1989 Ms. Nairn was appointed a Vice-Chair of the OLRB, where she served for three terms, following which she expanded her successful practice as labour arbitrator and mediator. Ms. Nairn is a member of the National Academy of Arbitrators and the Ontario Labour-Management Arbitrators' Association and has held various positions on the executive of the Labour Section of the Ontario Bar Association. She has completed an advanced mediation course at Osgoode Hall Law School, has taught at both the college and university levels in the area of labour law, and has appeared as a speaker on various panels and at conferences in the areas of labour law and human rights. She was appointed to the Grievance Settlement Board on October 31, 2001.

### 4. Overview of Activities

#### **PSGB Mission Statement**

To provide appropriate dispute resolution services to management/excluded employees and their employers in a fair, impartial and expeditious manner and promote harmonious labour relations in the Ontario Public Service.

#### Overview of Programs and Activities

By statute, the PSGB is comprised of a minimum of a part-time Chair and two part-time Members. Members of the PSGB bring to it extensive experience as both labour mediators and arbitrators.

The administrative functions of the PSGB are performed by a full-time administrative staff under the direction of the Board's Secretary. For those who use the PSGB's dispute resolution services, the first point of contact is with its administrative staff.

Once a complaint has been filed with the PSGB, mediation is usually the first step in the Board's dispute resolution process. A confidential mediation session provides the opportunity for complainants and their employer to resolve complaints in an expeditious and informal manner. A large proportion of complaints are now successfully resolved through the PSGB's mediation process conducted by one of the Board's members (Chair or Member).

If a complaint cannot be resolved through mediation, it is then scheduled for a full adjudicative hearing. At these hearings, evidence and arguments are presented in a more formal manner. A member of the PSGB (Chair or Member) will preside over the hearing, deal with procedural and evidentiary issues, listen to the evidence and argument, and then prepare a written decision. In more complicated cases the hearing could take longer than one day.

It can be seen from this overview that the PSGB makes available to complainants and their employer both mediation and adjudicative services. Regardless of which process is followed, however, it is always the primary concern of the PSGB that complaints be resolved in a fair, impartial, and expeditious manner. In order to maintain a sufficient complement of part-time members to ensure expeditious scheduling, recruitment for two members is ongoing.

The number of new complaints filed with the Board has experienced a variation over the past three fiscal years, ranging from 143 in 2014/2015, 78 in 2015-2016 and 59 in 2016-2017 fiscal years, with an average of 93 new complaints per year. Our active case load was 59 at the end of the fiscal year 2016-2017. (See charts on pp. 9 to 11 below).

## 5. PSGB Applications Filed By Fiscal

| TOTAL #<br>PSGB FILES<br>FOR FISCAL<br>2013-2014 | % of change<br>over previous<br>fiscal | TOTAL #<br>PSGB FILES<br>FOR FISCAL<br>2014-2015 | % of change<br>over previous<br>fiscal | TOTAL #<br>PSGB FILES<br>FOR FISCAL<br>2015-2016 | % of change<br>over previous<br>fiscal | TOTAL #<br>PSGB FILES<br>FOR FISCAL<br>2016-2017 | % of change<br>over previous<br>fiscal |
|--|--|--|--|--|--|--|--|
| APRIL: 20  | 233.33%                                | APRIL: 8   | 60.00%                                 | APRIL: 3   | 62.50%                                 | APRIL: 9   | 200.00%                                |
|  | increase                               |  | decrease                               |  | decrease                               |  | increase                               |
| MAY: 14  | 27.27%<br>increase                     | MAY: 3   | 78.57%<br>decrease                     | MAY: 11  | 266.67%<br>increase                    | MAY: 4   | 63.64%<br>decrease                     |
| JUNE: 5  | 68.75%<br>decrease                     | JUNE: 67   | 1240.00%<br>increase                   | JUNE: 6  | 91.04%<br>decrease                     | JUNE: 21   | 250.00% increase                       |
| JULY: 20   | 185.71%<br>increase                    | JULY: 15   | 25.00%<br>decrease                     | JULY: 7  | 53.33%<br>decrease                     | JULY: 3  | 57.14%<br>decrease                     |
| AUGUST: 4  | 50.00%<br>decrease                     | AUGUST: 0  | 100.00%<br>decrease                    | AUGUST: 1  | N/A                                    | AUGUST: 4  | 300.00%<br>increase                    |
| SEPTEMBER: 3                                     | 50.00%<br>increase                     | SEPTEMBER: 6                                     | 100.00% increase                       | SEPTEMBER: 3                                     | 50.00%<br>decrease                     | SEPTEMBER: 3                                     | 0.00%<br>decrease                      |
| OCTOBER: 0                                       | 100.00%<br>decrease                    | OCTOBER: 12                                      | N/A                                    | OCTOBER: 19                                      | 58.33% increase                        | OCTOBER: 1                                       | 94.74%<br>decrease                     |
| NOVEMBER: 5                                      | 25.00%<br>increase                     | NOVEMBER: 1                                      | 80.00%<br>decrease                     | NOVEMBER: 6                                      | 500.00%<br>increase                    | NOVEMBER: 1                                      | 83.33%<br>decrease                     |
| DECEMBER: 27                                     | 350.00%<br>increase                    | DECEMBER: 7                                      | 74.07%<br>decrease                     | DECEMBER: 10                                     | 42.86% increase                        | DECEMBER: 1                                      | 90.00%<br>decrease                     |
| JANUARY: 10                                      | 42.86%<br>increase                     | JANUARY: 3                                       | 70.00%<br>decrease                     | JANUARY: 3                                       | 0.00%<br>decrease                      | JANUARY: 5                                       | 66.67% increase                        |
| FEBRUARY: 3                                      | 93.88%<br>decrease                     | FEBRUARY: 8                                      | 166.67% increase                       | FEBRUARY: 3                                      | 62.50%<br>decrease                     | FEBRUARY: 3                                      | 0.00%<br>decrease                      |
| MARCH: 1   | 96.15%<br>decrease                     | MARCH: 13  | 1200.00%<br>increase                   | MARCH: 6   | 53.85%<br>decrease                     | MARCH: 4   | 33.33%<br>decrease                     |
| TOTAL: 112                                       | 24.32%<br>decrease                     | TOTAL: 143                                       | 27.68% increase                        | TOTAL: 78  | 45.45% decrease                        | TOTAL: 59  | 24.36%<br>decrease                     |
| Average New                                      |  | Average New                                      |  | Average New                                      |  | Average New                                      |  |
| Files Per<br>Month: 9.33                         |  | Files Per<br>Month: 11.92                        |  | Files Per<br>Month: 6.50                         |  | Files Per<br>Month: 5                            |  |

## 6. PSGB Caseload 2016-2017

| PSGB Caseload 2016/2017                           |              |  |
|---|--------------|--|
| Active Cases as at March 31, 2016                 | 137          |  |
| Cases filed in fiscal 2016/2017                   | 59           |  |
| Cases re-opened/input in Case Management System   | 0            |  |
| Total Active Cases                                | 196          |  |
| Cases Disposed of:                                |              |  |
| By Decision                                       | 25           |  |
| Administrative Decisions without Hearing          | 54           |  |
| Settled   | 30           |  |
| Withdrawn   | 28           |  |
| Duplicate File                                    | 0            |  |
| Total Cases Disposed                              | 137          |  |
| Active Cases at March 31, 2017*                   | 59           |  |
| * Active Inventory                                |              |  |
|   |              |  |
| To Be Scheduled                                   | 3            |  |
| To Be Scheduled Scheduled Continuation            | 3<br>1       |  |
|   |              |  |
| Scheduled Continuation                            | 1            |  |
| Scheduled Continuation Scheduled                  | 1<br>27      |  |
| Scheduled Continuation Scheduled Decision Pending | 1<br>27<br>5 |  |

## 7. Financial Information

The Public Service Grievance Board receives its funds through an allocation from the Grievance Settlement Board, which is funded as a budget item of the Ministry of Labour. Expenditures made on behalf of the PSGB are recovered from the Employer and refunded to the Grievance Settlement Board. Note the Chair Per Diem was lower in fiscal years 2013-14 and 2014-15 because of periods of vacancy in the Chair position.

The PSGB charges the Employer a \$300 filing fee for each complaint filed at the Board along with the Chair's per diem, administrative, travel costs and hearing rooms booked at the board.

The attributable costs reflect those costs incurred by the Employer that are directly related to the hearing of a particular case such as member per diems, out of town hearing rooms and member travel costs.

|  | 2013-14      | 2014-15      | 2015-16      | 2016-17      |
|--|--------------|--------------|--------------|--------------|
| Chair Remuneration                                   | 49,368.40    | 42,164.00    | 56,440.00    | 63,286.00    |
| Chair Administrative and Travel Costs                | 0.00         | 0.00         | 0.00         | 0.00         |
| Member Administrative Costs Board Conference         | 1,840.70     | 0.00         | 0.00         | 362.98       |
| Member Travel Costs Board Conference                 | 1,312.58     | 0.00         | 0.00         | 0.00         |
| Subtotal of Chair/Member Costs                       | \$52,521.68  | \$42,164.00  | \$56,440.00  | \$63,648.98  |
| Administration Fees:                                 |              |              |              |              |
| Total Applications filed                             | 112          | 143          | 79           | 57           |
| New Application Filing Fee (\$300 per Application)   | \$37,500.00  | \$41,700.00  | \$24,300.00  | \$17,100.00  |
| Hearing Costs:                                       |              |              |              |              |
| Hearing Related Costs [Remuneration/Travel Expenses] | 56,778.44    | 25,776.21    | 54,711.00    | 53,595.83    |
| Hearing Rooms Fees at the Board                      | 10,200.00    | 9,200.00     | 13,800.00    | 11,800.00    |
| Subtotal of Hearing Costs                            | \$66,978.44  | \$34,976.21  | \$68,511.00  | \$65,395.83  |
| TOTAL COSTS  | \$157,000.12 | \$118,840.21 | \$149,251.00 | \$146,144.81 |

## 8. Performance Measures

| Measure   | 2016-2017 Commitments  | 2016-17 Achievements  |
|---|--|---|
| Elapsed time to acknowledge receipt of complaint  | 100% of complaints received to be acknowledged within 30 days.   | 100% of complaints received were acknowledged within 30 days.   |
| Elapsed time from receipt of Application Form to offering dates                                 | 100% of complaints will be offered dates for scheduling within 30 days of receipt of Application Form. | 100% of complaints were offered dates for scheduling within 30 days of receipt of Application Form.   |
| Percent of complaints<br>disposed of by settlement,<br>withdrawal or administrative<br>decision | 50% of complaints disposed of by settlement, withdrawal or administrative decision                     | 82% of complaints disposed of by administrative decision, withdrawal or settlement.   |
| Timeliness of decisions released by the PSGB  | 80% of decisions to be released within 90 days of completion of hearing and/or receipt of submissions. | 92% of decisions were released within 90 days. The Board released 26 decisions with 24 meeting the commitment of a 90 day release and 2 fell outside the 90 day commitment. |
| Percent of judicial reviews upheld  | 100% of decisions upheld on judicial review (i.e. application for judicial review dismissed)           | One matter referred to Judicial Review is still before the courts.  |